

Sample Notice of Succession – Simple Name Change

A market-based rate seller must file a notice of succession when it undergoes a name change. As part of this filing, the market-based rate seller must revise its market-based rate tariff to show the new name. The market-based rate seller must include a clean version of the revised tariff and a redline/strikeout version of the revised tariff showing ALL revisions.

[Current Date]

Magalie R. Salas, Secretary
Federal Energy Regulatory Commission
888 First St., N.E.
Washington, D.C. 20426

Re: Example Seller, Inc.
Notification of Succession
Docket No. ER06-____-____

Dear Ms. Salas:

Enclosed for filing are an original and six copies of a notice of succession submitted by Example Seller, Inc. (Example Seller).

Example Seller hereby notifies the Commission that, as the result of a name change, Example Seller has succeeded Old Company, L.L.C. Old Company, L.L.C. originally received market-based rate authorization in Docket No. ER99-1234-000. Enclosed is a revised tariff that reflects this name change.

Example Seller requests that the tariff be effective sixty-one days after the date of this filing.

Sincerely,

Cynthia F. Kilowatt
Vice President
Apex Power Marketing, Inc.
Suite 9000
1111 Half Street, N.W.
Washington, D.C. 20426-0000

(202) 502-XXXX

Revised Market-Based Tariff

Availability: Example Seller, Inc. makes electric energy and capacity available under this tariff to any purchaser, except as prohibited below.

Applicability: This tariff is applicable to all sales of energy and capacity by Example Seller, Inc. at market-based rates.

Rates: All sales shall be made at rates established by agreement between the purchaser and Example Seller, Inc.

Other Terms and Conditions: All other terms and conditions shall be established by agreement between Example Seller, Inc. and the purchaser.

Prohibited Sales: No sale may be made pursuant to this tariff to any affiliate of Example Seller, Inc., with a franchised service territory without first receiving Commission approval under section 205 of the Federal Power Act, 16 U.S.C. § 824d.

Reporting Requirement: Example Seller, Inc. must timely report to the Commission any change in status that would reflect a departure from the characteristics the Commission relied upon in granting market-based rate authority. A change in status includes, but is not limited to, each of the following: (i) ownership or control of generation or transmission facilities or inputs to electric power production other than fuel supplies, or (ii) affiliation with an entity not disclosed in the application for market-base rate authority that owns or controls generation or transmission facilities or inputs to electric power production, or affiliation with any entity that has a franchised service area. Any change in status must be filed no later than 30 days after the change in status occurs.

Effective Date: This tariff is effective (insert date 61 days after filing).

Redline/Strikeout Revised Market- Based Rate Tariff

Availability: Example Seller, Inc. Old Company, L.L.C. makes electric energy and capacity available under this tariff to any purchaser, except as prohibited below.

Applicability: This tariff is applicable to all sales of energy and capacity by Example Seller, Inc. Old Company, L.L.C. at market-based rates.

Rates: All sales shall be made at rates established by agreement between the purchaser and Example Seller, Inc. Old Company, L.L.C.

Other Terms and Conditions: All other terms and conditions shall be established by agreement between Example Seller, Inc. and the purchaser.

Prohibited Sales: No sale may be made pursuant to this tariff to any affiliate of Example Seller, Inc. Old Company, L.L.C., with a franchised service territory without first receiving Commission approval under section 205 of the Federal Power Act, 16 U.S.C. § 824d.

Reporting Requirement: Example Seller, Inc. Old Company, L.L.C. must timely report to the Commission any change in status that would reflect a departure from the characteristics the Commission relied upon in granting market-based rate authority. A change in status includes, but is not limited to, each of the following: (i) ownership or control of generation or transmission facilities or inputs to electric power production other than fuel supplies, or (ii) affiliation with an entity not disclosed in the application for market-base rate authority that owns or controls generation or transmission facilities or inputs to electric power production, or affiliation with any entity that has a franchised service area. Any change in status must be filed no later than 30 days after the change in status occurs.

Effective Date: This tariff is effective (insert date 61 days after filing) January 10, 2000.